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PATENT
Docket No. 300622005500

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Irina Britva
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In the application of:

Chaitan KHOSLA et al.

Serial No.: 09/867,845

Filing Date: May 29, 2001

For: DESIGN OF POLYKETIDE SYNTHASE
GENES

Examiner: To Be Assigned

Group Art Unit: 1633

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This application claims the benefit of the filing dates of United States provisional application serial numbers 60/207,331, filed May 30, 2000 and 60/237,382, filed October 4, 2000.

The documents listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 300622005500. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 2, 2002

Respectfully submitted,

By: Brenda J. Wallach
Brenda J. Wallach
Registration No. 45,193

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Form PTO-1449

Docket Number 300622005500

Application Number 09 867,845

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary.)

Applicant

Chaitan KHOSLA et al.

Filing Date May 29, 2001

Group Art Unit 1633

Mailing Date August 2, 2002

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
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OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

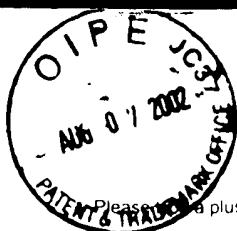
Examiner Initials	Ref. No.	Title
	1.	Khosla, C. et al. (1996) <i>Trends in Biotechnology</i> 14(9):335-341
	2.	Siani, M.A. et al. (1994) <i>J Chem Inf Comput Sci</i> 54:588-593
	3.	Siani, M.A. et al. (2000) <i>J of Molecular Graphics and Modelling</i> 18(4-5):497-511
	4.	Xue, Q. et al. (1999) <i>PNAS USA</i> 96(21):11740-11745

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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/867,845	
	Filing Date	May 29, 2001	
	First Named Inventor	Chaitan KHOSLA	
	Group Art Unit	1633	
	Examiner Name	To Be Assigned	
Total Number Of Pages In This Submission	8	Attorney Docket No.	300622005500

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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return postcard; copy of the International Search Report
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<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement; PTO form 1449; and 4 references	<input type="checkbox"/> Request for Refund	
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Brenda J. Wallach Registration No. 45,193
Signature	<i>Brenda J. Wallach</i>
Date	August 2, 2002

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<i>U. E. Briva</i> Irina E. Briva	

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